



2017 FILLY by SCISSOR KICK ex MISS MUSHU

Offered by

**TRIPLE CROWN BLOODSTOCK PTY LTD
Trading as TRIPLE CROWN SYNDICATIONS**

Product Disclosure Statement

Promoter

Triple Crown Bloodstock Pty Ltd trading as Triple Crown Syndications (ACN 106 587 875) (AFS Licence No: 307377)

Address: Suite 8, 694 Pacific Highway, KILLARA, NSW, 2071

Telephone: 02 9499 5212 Facsimile: 02 9499 5213 Mobile: 0409 010 780

Email: info@triplecrown.com.au Website: www.triplecrown.com.au

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This Product Disclosure Statement (PDS) was lodged and subsequently approved on 14 February, 2019 by Racing NSW, acting as Lead Regulator for the Australian Securities & Investments Commission (ASIC). No liability as to the contents of this PDS is taken by Racing NSW, its officers, employees or agents. In particular (but without prejudice to the generality of this disclaimer) Racing NSW does not warrant, and has no responsibility for the commercial merits of the scheme referred to in the PDS, the manner in which the syndicates are operated, or the taxation advantages (if any) to which the scheme may give rise.

In offering this Syndicate for investment, the Promoter has complied with the conditions under the ASIC Corporations (Horse Schemes) Instrument 2016/790, including the clause that the total value of the scheme cannot exceed \$500,000 including GST or the total number of participants exceed 50.

NOTICE TO POTENTIAL SUBSCRIBERS

This Product Disclosure Statement should be read in its entirety. Before deciding to acquire a share(s) in the horse herein described you should consider the suitability of this product to you. If you are in any doubt as to the course you should follow you should consult the promoter and/or your bloodstock, financial, legal and taxation advisers to enable you to make an informed decision as to whether to subscribe to this product. Whilst all care has been taken, no responsibility is accepted by the Promoter or its staff for any errors, omissions or inaccuracies in this document or any other document or material associated with this promotion.

COOLING-OFF PERIOD

Applicants retain the right to "Cool-off" (that is, cancel the purchase of their share(s) in return for a full refund of their application moneys from Triple Crown Bloodstock Pty Ltd) during the "Cooling-off period". The Cooling-off period shall commence from the time when the applicant receives confirmation that they have acquired the product (share) and will cease at the end of the fifth (5th) day following. An applicant who wishes to cool-off must notify Triple Crown Bloodstock Pty Ltd in writing of his/her intention to cool-off within the cooling-off period.

PROMOTER

The Promoter of this offer is Triple Crown Bloodstock Pty Ltd (ACN 106 587 875) trading as Triple Crown Syndications. Triple Crown Bloodstock Pty Ltd holds an AFS Licence (No. 307377).

The Directors of Triple Crown Bloodstock Pty Ltd are:

- Christopher Ward; and,
- Michael Ward.

The registered office of Triple Crown Bloodstock Pty Ltd is:

Suite 8
694 Pacific Highway
KILLARA NSW 2071

SYNDICATE MANAGER

The Syndicate shall be fully managed during its term by Triple Crown Bloodstock Pty Ltd. Triple Crown Bloodstock Pty Ltd has been an industry leader in the syndication of racehorses for many years and is respected for its success which stems from its professional approach.

OFFER

A twenty (20) share syndicate is being formed to race the following horse:

Pedigree: By Scissor Kick ex Miss Mushu (see attached pedigree page for further details)

Sex: Filly

Date Foaled: 9 August, 2017

Colour: Bay

BOBS Eligible: Yes

Ownership or Lease: Ownership

Trainer: Peter & Paul Snowden

Purchase Price: \$19,990 (inclusive of GST) per 10% shareholding pro-rata

Inclusions in the Share Price: Purchase, breaking-in, agistment, Inglis Race Series nomination, Golden Slipper & Golden Rose race nominations, PDS production and registration fees, pre-training, training, transport, veterinary, farrier, insurance, GST and other expenses prior to 1 May, 2019.

TRAINER

The appointed trainers of the horse being offered are multiple Group 1 winning trainers, Peter & Paul Snowden. Peter and Paul have expressed their pleasure and consent at being appointed the trainers of the filly as can be seen in the attachments.

VETERINARY REPORT

Dr Allan Frogley BVSc MRCVS has examined the filly and provided a veterinary report attaining to its suitability as a racing proposition. A copy of this veterinary report is provided as an attachment.

INSURANCE

The Promoter has arranged and paid for insurance cover (see the attachments for further details) with Logan Livestock Insurance Agency Pty Ltd (ACN 001 826 204). The benefit of this insurance cover will be passed onto the Syndicate Shareholders, on a pro-rata basis, upon the expiration of the "cooling-off" period.

Prospective investors should be aware that the current insurance cover will expire on 1 May, 2019. Please note that subsequent insurance cover is optional for each owner.

PRIZEMONEY

All net prizemoney will be directly deposited pro-rata into each Syndicate owner's nominated bank account by Racing NSW.

DETAILS OF THE FILLY'S ACQUISITION

On 11 February, 2019 the filly was consigned to the Inglis Classic Yearling Sale by Bhima Thoroughbreds, Scone, NSW (As Agent). Triple Crown Bloodstock Pty Ltd purchased the filly for \$154,000.00 inclusive of GST. The Promoter has a legally enforceable right to possession of the filly and will receive unencumbered title upon payment of the purchase price to William Inglis Pty Ltd prior to the registration of the horse. Registration of the horse will then take place after the completion of the Syndicate and the allotment of shares. At this time the Promoter will ensure that the participants in the syndicate have unencumbered title to the horse and will provide Racing NSW with a Vendor Release Statement confirming the same. An estimate of the additional expenses incurred by Triple Crown Bloodstock Pty Ltd in the syndication of this filly since acquisition can be seen in the section titled "Expenses Incurred by the Promoter".

HOW TO APPLY FOR A SHARE

1. Complete the accompanying Application Form (including the Racing NSW Authority to Sign form and the Registrar of Racehorses Horse Registration form) and return it to Triple Crown Bloodstock Pty Ltd either by scanning and emailing it back or by facsimile on (02) 9499 5213 or by post to the following address:

Triple Crown Bloodstock Pty Ltd
Suite 8
694 Pacific Highway
KILLARA NSW 2071

2. Enclose cash, cheque or money order for \$19,990.00 per 10% shareholding pro-rata made payable to "Triple Crown Bloodstock Pty Ltd Trust Account". Alternatively, a direct deposit for the same amount can be made into the following bank account:

Account Name: Triple Crown Bloodstock Pty Ltd Trust Account
Bank: ANZ Bank
BSB: 012323
Account No: 491844012
Reference:(Insert Your Name or Phone Number)

Please note that applications for shares are only accepted once both of the steps above have been completed.

If an applicant wishes to purchase less than 5% of the horse then they may be added as a member of a sub-syndicate formed by Triple Crown. Any applicable fee for forming or renewing this sub-syndicate will be charged pro-rata to the participating members of that sub-syndicate.

Application moneys received by Triple Crown Bloodstock Pty Ltd will be held in a separate trust account until the syndication of the filly has been completed.

If the Syndicate is not fully subscribed within six (6) months of invitations for shares first being made to the public then all application moneys held in trust, together with interest, will be returned to applicants within ten (10) business days.

The Promoter will register the Syndicate within 45 days of applications for the minimum number of interests in the syndicate being received or the minimum amount for the Syndicate has been raised, unless the time period elapsed since the Product Disclosure Statement was approved by the Lead Regulator is under 6 months and shares remain available for sale, in which case the Promoter will register the Syndicate within 45 days of the sale of the final share in the scheme as required.

The Promoter confirms that any personal property security interest registered against the title to the horse has been released or will be released and that the Promoter will, before or on registration of the syndicate with Racing NSW, confirm to Racing NSW that the personal property security interest has been released if applicable.

TRANSFER OF SHARES

The transfer of shares is permitted under the terms of the Syndicate Deed, which outlines the circumstances in which a transfer may take place and the process that must be followed.

EXPENSES INCURRED BY THE PROMOTER

The following expenses (excluding general operating expenses) have either been incurred or are expected to be incurred by Triple Crown Bloodstock Pty Ltd in the formation of the 2017 Scissor Kick ex Miss Mushu Filly Syndicate (figures are GST inclusive): Horse Purchase \$154,000, Inglis Race Nomination \$3,850, Golden Slipper & Golden Rose race nominations \$385, Breaking-in Fee \$3,100, Agistment \$889, Pre-training \$1,382, Training \$1,848, Farrier \$400, Veterinary \$950, Transport \$1,400, Dentist \$75, Insurance \$1,152, Accounting Costs \$1,100, Printing/Stationary/Postage/Telecommunications/Photography \$2,200, Office/Administration/Salaries \$6,000, Advertising \$9,900, PDS Registration Fee with Lead Regulator \$200, Syndicate Registration Fees \$750, ASIC PDS Notice in Use Fee \$37, Professional Indemnity Insurance Averaged \$1,543, Sales Attendance Averaged \$1,650. Total of all expenses incurred by the Promoter is \$192,811 plus some additional business operating overheads.

Any additional costs, over and above those anticipated, prior to 1 May, 2019 will be borne by Triple Crown Bloodstock Pty Ltd and will not become the responsibility of the Syndicate members.

STATEMENT REGARDING ANY POTENTIAL CONFLICT OF INTEREST

The Promoter does not indirectly influence experts such as trainers, veterinarians or valuers to provide advice which is biased, nor do these experts have any commercial reason to provide advice which is biased.

In an instance when the Promoter or trainer retains a share in the horse, the share is a commercial investment and the appropriate fees are apportioned to the trainer or Promoter as they are to all Shareholders. Neither the Promoter, Manager or any of their associates has a beneficial financial interest in the sire or dam of the horse. Furthermore, the Promoter is not entitled to a free service to the sire of the horse the subject of the Product Disclosure Statement.

Some Promoters in the industry receive administration fee rebates from trainers based on the daily training fees paid by their owners. We declare that Triple Crown Bloodstock Pty Ltd does not receive any trainer rebates.

OTHER IMPORTANT INFORMATION

The Syndicate will be governed and operated in accordance with the Syndicate Deed, which is available upon request at any time from Triple Crown Bloodstock Pty Ltd.

The Promoter will manage the horse, including lodgement of accounts with the Lead Regulator or ASIC for the pre-racing and racing life of the horse, until the Syndicate is officially wound-up by the Syndicate Manager after the sale, death or retirement from racing and/or breeding of the horse. Management activities may include, but are not limited to, race programming and jockey selection, regular communication on your horse's progress, arranging veterinary care for the horse, organising stable visits for owners, managing race day procedures and performing all general administration duties for the Syndicate.

The owner of each share shall be liable for a pro-rata share of costs associated with operating the Syndicate and racing the filly. It is reasonably anticipated that these costs (excluding optional insurance cover) will equate to approximately four hundred dollars (\$400) plus GST on average per 10% share per month. However, as it is impossible to accurately predict the future Syndicate expenses due to the many variables involved, the actual costs may vary from these estimates. The anticipated costs include, but are not limited to, training expenses, agistment expenses, transport expenses, veterinary charges and syndicate management fees, with the latter currently set at \$65.00 plus GST per month (or part thereof) per 10% shareholder pro-rata, subject to a minimum fee of \$65.00 plus GST per month (or part thereof) per shareholder. On this point of costs it should also be noted that any Syndicate participant may elect to have the horse tested for a prohibitive substance under the Australian Rules of Racing with the cost of testing to be borne by all participants (whether or not they elected to have the horse tested).

Should the filly and/or any interest in the filly (including any allocation and sale of additional shares) and/or any shares ever be sold in the filly, then the Syndicate Manager shall be entitled to receive a commission of 10% plus GST of the gross sale price. Similarly, if an insurance claim is ever made on the filly then the Syndicate Manager shall be entitled to the same commission rate of the claim paid by the insurer. Finally, if Shareholders ever breed from the filly, the Syndicate Manager shall be entitled to receive 10% plus GST of the gross income revenue received from the sale of the progeny of the filly.

The Promoter reserves the right to discount the share price at their sole discretion in certain circumstances.

STATEMENT OF INDUSTRY RISKS

An investment in a racehorse should be considered speculative in nature. While it may prove very rewarding, there are a number of potential risks associated with this type of investment, such as (but not limited to) the following: horses can become injured; not all horses proceed to a race career; not all racehorses earn prizemoney; insurance, when secured, may not cover all possible scenarios; male horses may be gelded; the prospect of a future breeding career may not eventuate; and, the value of each share may fluctuate over time both higher and lower depending on a range of factors, including the race track performance of the horse and the level of supply and demand for bloodstock at any point in time.

DISPUTE RESOLUTION

In the event that a dispute arises, the Shareholder should first contact the Syndicate Manager to attempt to resolve it. If the dispute has not been resolved within forty five (45) days then the complainant may be entitled to refer their dispute to Racing NSW should their concerns be horse or racing related, alternatively to the Australian Financial Complaints Authority (AFCA) should their concerns relate to financial services provided under this Product Disclosure Statement. Triple Crown's AFCA Membership Number is 12452.

DECLARATION

We, Christopher Ward and Michael Ward, as directors of Triple Crown Bloodstock Pty Ltd declare that:-

- (a) the statements contained in this Product Disclosure Statement are true and correct, and not misleading in their form or content; and
- (b) this Product Disclosure Statement contains all information which prospective investors and their advisers would require and reasonably expect to find in a Product Disclosure Statement for the purpose of making an informed assessment about investment in the Syndicate.



CHRIS HUGH WARD
Director
TRIPLE CROWN BLOODSTOCK PTY LTD
AFSL No. 307377



MICHAEL JOSEPH WARD
Director
TRIPLE CROWN BLOODSTOCK PTY LTD
AFSL No. 307377

PEDIGREE

The following page(s) contains the pedigree of the filly.

All of the information provided within the pedigree is believed to be true and correct. However, due to the evolving nature of pedigrees, which are subject to regular review and updates, Triple Crown Bloodstock Pty Ltd cannot be held responsible for any inaccuracies.



Barn E Stables 17-21 & 27-40
On Account of BHIMA THOROUGHBREDS, Scone
(As Agent)

Lot 521

BAY FILLY

(Branded **GB** nr sh. $\frac{2}{7}$ off sh. Foaled 9th August 2017)

SIRE	Redoute's Choice.....	Danehill (USA)	by Danzig
SCISSOR KICK	Back Pass (USA)	Shantha's Choice	by Canny Lad
		Quest for Fame (GB)...	by Rainbow Quest.....
		Skiable	by Niniski
DAM	Encosta de Lago	Fairy King (USA)	by Northern Dancer.....
MISS MUSHU		Shoal Creek	by Star Way (GB)
2006	Lady Mulan (NZ)	Bigstone (Ire)	by Last Tycoon (Ire)
		Zaffra	by Zephyr Bay

SCISSOR KICK (AUS) (Bay 2011-Stud Aust. 2016, France 2017). 4 wins-1 at 2- from 1200m to 1300m, A\$534,075, ATC Eskimo Prince S., Gr.3, Up & Coming S., Gr.3, Rosebud H., L, More Than Ready 2YO H., 2d ATC Golden Rose S., Gr.1, Stan Fox S., Gr.2, 4th ATC All Aged S., Gr.1. Brother to SW Sharkbite (MRC Lord S., L). Out of a half-sister to SW Three Valleys. Related to SW Champs Elysees, SW Heat Haze, SW Cacique, etc. His oldest progeny are yearlings.

1st Dam

MISS MUSHU, by *Encosta de Lago*. Placed at 2 & 3, 2d AJC Fashions in the Field H., 3d STC Theraces.com.au 2YO H., N&A Group 2YO H. Half-sister to **Absent Friends**. This is her fourth living foal. Her third foal is a 2YO. Dam of 1 foal to race, **1 winner**-

High Mist (*g by High Chaparral (Ire)*). **5 wins**-1 at 2-1150m to 1600m, \$252,380, to 2017-18, ATC Theraces H., Hi Quality Turf H., Schweppes H., Queen's Birthday H., 2d NSW Tatt's RC Ming Dynasty H., **Gr 3**, ATC Hyland Race Colours 2YO H., 3d ATC Turf Club H., Tab.com.au H.

2nd Dam

LADY MULAN (NZ), by *Bigstone (Ire)*. **4 wins**-2 at 2-1100m to 2000m, \$733,770, AJC Light Fingers S., **Gr 2**, Adrian Knox S., **Gr 3**, STC Forstaff Personnel 2YO H., Worldtravel.com.au 2YO P., 2d STC Coolmore Classic, **Gr 1**, QTC TJ Smith Classic, **Gr 1**, 3d AJC Flight S., **Gr 1**, STC Tea Rose S., **Gr 2**, 4th AJC Silver Shadow S., **Gr 2**, Surround S., **Gr 2**. Sister to Zafonique (dam of **OSCAR EIGHT - MR HANKS** (Sing.)), half-sister to **KINZAFFRA, Colours**. Dam of 9 foals, 6 to race, **5 winners**, inc:-

Absent Friends (*g Dehere (USA)*). **6 wins** 1300m to 1600m, \$229,890, AJC Hope H., Catalan Opening P., STC Rosehill H., 2d BRC CB's PC's P., 3d BRC Bernborough H., **L**, STC Neville Sellwood S., **L**, 4th STC Kingston Town S., **Gr 3**, BTC Chairman's H., **Gr 3**, Old Tatt's RC Tattersall's Mile, **L**.

Hollaback. **Winner** at 1250m, STC Jacob's Creek Sparkling H. Dam of-
 Hunger. **4 wins** 1400m to 1800m, \$218,050, Sale TC Inglis 3YO Trophy, MRC Echucamoama.com H., SAJC Tattsbet H.

Rose of Mulan. Unraced. Dam of-

Scallopini. **4 wins** 1100m to 1350m, \$107,775, to 2018-19, BRC Blight Rayner Architect H., Ipswich TC Ray White H., GCTC Ladies Day P.

3rd Dam

ZAFFRA, by *Zephyr Bay*. **2 wins** at 1200m in NZ. Half-sister to **TOUR BLADE**. Dam of 7 foals, **all winners**, inc:-

LADY MULAN (*f Bigstone (Ire)*). **4 wins**. See above.

KINZAFFRA (*g Kinjite*). **6 wins** 1100m to 1600m, \$164,098, NSW Tatt's RC Tramway H., **Gr 3**, WRC Totara Lodge H., 2d AJC Show County H., **L**.

Colours (*f Gold and Ivory (USA)*). **4 wins** at 1000m, 1600m in U.S.A. and NZ, 2d Manawatu RC Centaine S., **Gr 3**, 3d New Zealand One Thousand Guineas, **Gr 1**, AuRC Royal S., **Gr 3**, Manawatu RC Eulogy S., **Gr 3**.

Zafonique. **3 wins** to 1350m, Avondale JC Auckland Owners Cup. Dam of-

OSCAR EIGHT - MR HANKS (Sing.) (*g Showcasing (GB)*). **4 wins** at 1200m, 1400m, \$208,204, to 2018, Singapore TC Juvenile Championship, **L**, 2d Counties RC Murdoch Newell S., **L**.

Disturbance (*g Thewayyouare (USA)*). **5 wins** 1400m to 1800m, \$104,223, to 2018-19, 2d CJC Champagne S., **L**.

APPROVAL OF PRODUCT DISCLOSURE STATEMENT BY RACING NSW

Racing NSW has provided its approval of this Product Disclosure Statement as can be seen on the following page.

15 February 2019

Mr Michael Ward
Triple Crown Bloodstock Pty Ltd
Suite 8, 694 Pacific Highway
KILLARA NSW 2071

Dear Mr Ward

RE: Product Disclosure Statement for 2017 filly by SCISSOR KICK X MISS MUSHU

The disclosure material lodged in respect of the above racehorse has been examined and appears to comply with the provisions required by the Australian Securities & Investments Commission.

Approval is hereby granted for the promotion to proceed.

This approval is conditional on the following:

- that all advertisements in respect of the scheme are submitted to Racing NSW for approval;
- **that advertisements are NOT published until Racing NSW approval has been granted;**

Notes:

- **the PDS is valid for 6 months from the date of this correspondence;**
- **all persons who make an enquiry to purchase a share are to be issued with the Approved PDS;**
- advertisements include Print Media, SKY Channel, radio, website and all other advertisements.

Yours faithfully



Courtney Cook
For Racing NSW

CONSENT TO ACT AS SYNDICATE MANAGER

Triple Crown Bloodstock Pty Ltd has provided on the following page its consent to act as Syndicate Manager.



11 February 2019

Letter of Consent to Act as Syndicate Manager

We wish to confirm that Triple Crown Bloodstock Pty Ltd is very pleased to act as Syndicate Manager for the 2017 Scissor Kick ex Miss Mushu filly Syndicate. Triple Crown Bloodstock Pty Ltd is a Racing NSW Approved Promoter and holder of Australian Financial Services Licence No. 307377.

Yours sincerely,

Michael Ward

Director

CONSENT TO ACT AS TRAINER

Peter Snowden and Paul Snowden have provided on the following page their consent to act as trainers of the filly for the Syndicate.



Snowden Racing Pty Ltd
28 High Street Randwick NSW 2031
PO Box 511, Kensington NSW 1465
☎ 02 9326 7866 ☎ 02 9398 2263
www.snowdenracing.com.au

11 February 2019

To whom it may concern,

I am very pleased to be appointed the trainer of the 2017 Scissor Kick / Miss Mushu filly on behalf of the ownership group to be formed by my exclusive syndicators Triple Crown Syndications.

I look forward to sharing lots of fun at the races in the future with Triple Crown and their team of owners who race this filly.

Finally, please note that I authorise Triple Crown Syndications to list myself and my son Paul as the trainer of this filly in any advertising material relating to the syndicate.

Yours sincerely,

Peter Snowden

VETERINARY CERTIFICATE

Dr Allan Frogley BVSc MRCVS has provided on the following page a veterinary certificate confirming the filly's suitability for racing. As at the date of this Product Disclosure Statement Triple Crown Bloodstock Pty Ltd knows of no fact or occurrence which renders any statement contained in the veterinary certificate materially inaccurate.

WARWICK FARM EQUINE CENTRE PTY LTD

A.C.N.053 463 399 A.B.N.77 053 463 399

10 BULL STREET
WARWICK FARM
NSW 2170

Phone: (02) 9601 3444
Fax: (02) 9821 2081
E: warwickfarmequine@bigpond.com
W: www.warwickfarmequine.com.au



VETERINARY SURGEONS

DR. ALLAN FROGLEY B.V.Sc., M.R.C.V.S.
DR. JESSICA TANNER B.V.Sc.
DR. JEN WALKER B.Sc., B.V.Sc., M.R.C.V.S.

CONSULTING SPECIALIST

DR. NICHOLAS KANNEGIERER
B.V.Sc., Dip. Vet. Clin. Stud. Ph.D., FACVSc.
DR. HADLEY WILLSALLEN
B.V.Sc., M.A.C.V.Sc. (surgery of horses) D.A.C.V.S.-L.A.
Specialist in Equine Surgery

VETERINARY CERTIFICATE

LOT 521 INGLIS CLASSIC YEARLING SALE, FEBRUARY 9 – 14, 2019 AT RIVERSIDE STABLES, SYDNEY

Animal Presented As: Sire: Scissor Kick Dam: Miss Mushu

Signalment: One Year Old Bay Thoroughbred Filly

On Monday 11th February, 2019, I examined the filly at the Inglis Classic Yearling Sale.

Branded: NSS: G B over Bar

OSS: 2 over 7

Exam Requested By: Triple Crown Bloodstock

Findings: 1. In my opinion, based on the physical examination, the filly is suitable to be a racing proposition.

Veterinarian: Dr Allan Frogley
Warwick Farm Equine Centre
10 Bull Street
Warwick Farm NSW 2170

This Certificate has been prepared for inclusion in the disclosure statement by the horse syndicate and at the time of examination could be relied upon as a true document.

The issuing veterinarian has no financial or other interest in the horse syndicate or monies raised from the syndicate.

Signature:



Dr Allan Frogley BVSc. MRCV.
Warwick Farm Equine Centre

MEMORANDUM OF INSURANCE

Logan Livestock Insurance Agency Pty Ltd has provided the Memorandum of Insurance for the filly on the following page.



Logan Livestock Insurance Agency Pty Ltd

ABN 81 001 826 204

www.logans.com.au

AFS Licence No. 238959

LEVEL 3, 357 MILITARY RD, CREMORNE TELEPHONE: (02) 9909 1499 FAX: (02) 9909 8057

Correspondence: P.O. BOX 360, CREMORNE NSW 2090 AUSTRALIA email: postmaster@logans.com.au

12/02/2019

Triple Crown Bloodstock Pty Ltd
Suite 8, 694 Pacific Highway
KILLARA 2071 Australia

MEMORANDUM OF INSURANCE

Policy No: LOG19000700A

Insured: Triple Crown Bloodstock Pty Ltd

Interest: Unnamed (ICYS Lot 521)
09/08/2017 Bay Filly
Scissor Kick X Miss Mushu

Use: Rearing

Limit of Liability: Aud \$140,000 being 100%

Period: 12th February 2019 to 4.00pm 1st May 2019

Coverage Summary: Mortality Insurance
Life Saving Emergency Surgery Extension Clause not exceeding \$10,000
Australia & New Zealand Territorial Limits
12 Month Extension Clause
Limited Theft and Unlawful Removal Endorsement
Terrorism Exclusion Endorsement
Disability Impairment Guarantee to Syndicate Registration

Underwriters: Lloyd's of London

Information on horse insurance is available at -:
www.logans.com.au

The Financial Services Guide and Insurance Policy are held by the Syndicator as syndicate manager and/or arranger of the insurance. They are available from them on request.

This Memorandum of Insurance is provided for inclusion in the Promoters Disclosure Statement for the sale of shares in the horse noted above and does not replace the terms and conditions of the policy. The Insurance is subject to payment of premium within normal credit terms and the nominated lead regulator will be advised if the terms are not met.



SNOWDEN RACING PTY LTD (ABN 56 167 131 740)

FEES NOTICE [from 1/12/2017]

This Fees Notice is intended to provide an Owner with an estimate only of fees and expenses. It is not a fixed price quotation. Fees and charges are invoiced monthly in arrears. It is not possible to indicate in advance the exact total monthly cost. While some fees and charges are at a daily rate (e.g. training fee etc.), others are per treatment (e.g. chiropractic, dentistry, farriery, veterinary etc.), and transportation per trip. Also, horses vary in the rate at which they mature and progress while on agistment and throughout each preparation.

1. PROPRIETOR'S BASIC FEES AND CHARGES		Daily Fee (excluding GST)	GST	Daily Fee (including GST)
Training fee	Randwick/Flemington	\$115	\$11.50	\$126.50
	Gear and equipment hire	\$3	\$0.30	\$3.30
Race day and barrier trial staffing fees	➤ Official Trials – NSW and Victoria	\$109.09	\$10.91	\$120
	➤ Race Meetings (Monday – Saturday) Day Meetings	\$109.09	\$10.91	\$120
	➤ Race Meetings (Sunday) Day Meetings	\$120	\$12	\$132
	➤ Race Meetings (Night Meetings/Public Holidays/Country)	Variable	Variable	Variable
Colours laundry fee	Races and barrier trials	\$5.00	\$0.50	\$5.50
2. OTHER FEES AND CHARGES (Estimate only) – actual fees and charges will be invoiced on a per treatment/track gallop/trip basis and may vary depending upon the service provider and the nature of the treatment/length of the trip		Fee (excluding GST)	GST	Fee (including GST)
Chiropractic	Consultation	\$24.55	\$2.45	\$27
	Treatment	\$74.55	\$7.45	\$82
Dentistry	Teeth Dressed	\$59.09	\$5.91	\$65
Farriery	➤ Full set of Work Shoes	\$154.55	\$15.45	\$170
	➤ Race Plates set/reset	\$54.55	\$5.45	\$60
	➤ Shoes set/reset	\$54.55	\$5.45	\$60
Track fees	As charged by ATC or other relevant race club	\$5.12	\$0.51	\$5.63
Transportation - main provider is Snowden Racing, subject to availability. - main outside provider is J G Goldners	➤ Randwick to Canterbury	\$160	\$16	\$176
	➤ Randwick to Randwick	\$60	\$6	\$66
	➤ Randwick to Rosehill	\$200	\$20	\$220
	➤ Randwick to Warwick Farm	\$195	\$19.50	\$214.50
	➤ Randwick to Hawkesbury	\$200	\$20	\$220
	➤ Randwick to Gosford/Wyong/Kembla	\$230	\$23	\$253
	➤ Randwick to Newcastle	\$320	\$32	\$352
	➤ Randwick to Canberra/Muswellbrook/Scone	\$450	\$45	\$495
	➤ Randwick to Beach	\$60	\$6	\$66
	➤ Randwick to Wild Oaks/Oak Ridge Farms	\$170	\$17	\$187
	➤ Randwick to Flemington (one way)	\$750	\$75	\$825
	➤ Randwick to Brisbane (one way)	\$800	\$80	\$880
	➤ Randwick to Gold Coast (one way)	\$700	\$70	\$770
	➤ Randwick to Bimbadeen Park	\$170	\$17	\$187
	➤ Randwick to Whispering Pines	\$170	\$17	\$187
	➤ Other trips	Variable	Variable	Variable
Veterinary - main providers are Centennial Park Vet Centre/Randwick Equine Vet Centre	➤ Examination (short consultation)	\$63.33	\$6.30	\$69.33
	➤ Endoscopic Examination	\$115	\$11.50	\$126.50
	➤ Saline Recovery Drench	\$54.27	\$5.43	\$59.70
	➤ EHV Vaccination	\$90.86	\$9.09	\$99.95
	➤ Equivac 2 in 1 Vaccination	\$61.82	\$6.18	\$68
	➤ Blood Profile	\$86.32	\$8.63	\$94.95
	➤ Other treatments	Variable	Variable	Variable
Common treatments administered by Snowden Racing	➤ Worming	\$22.73	\$2.27	\$25
	➤ Gastrozol	\$7.73	\$0.77	\$8.50
	➤ Dozadine	\$13.64	\$1.36	\$15
	➤ Metacam	\$54.55	\$5.45	\$60
	➤ Sea 5 Paste	\$9.09	\$0.91	\$10
	➤ Appetite	\$1.36	\$0.14	\$1.50
	➤ Omoguard	\$10.91	\$1.09	\$12
	➤ Pentosan	\$59.09	\$5.91	\$65
	➤ Darrows, Hippiron and Aminolite Drip	\$79.55	\$7.95	\$87.50
	➤ Tie Up Paste	\$2.27	\$0.23	\$2.50
	➤ Frusemide	\$40.91	\$4.09	\$45
	➤ Bromotrimidine – Full course	\$69.55	\$6.95	\$76.50
	➤ Bromotrimidine – 2.5 scoops	\$11.36	\$1.14	\$12.50
	➤ Animal Lintex	\$13.64	\$1.36	\$15
	➤ Other treatments	Variable	Variable	Variable
Credit Card Fee	Merchant Fee	1.5% for Visa and Mastercard		



SNOWDEN RACING PTY LTD (ABN 56 167 131 740)

FEES NOTICE (CONTINUED) [from 1/12/2017]

Notes:

- (1) An estimate of race and trial entry fees is not included and will be additional.
- (2) All race and trial entry fees (including nomination, acceptance and scratching fees) are set by the Principal Racing Authority or Race Club and are passed on the Owner at cost.
- (3) An estimate of interstate or overseas travel is not included and will be additional. Should the Horse race interstate or overseas, charges reflecting a proportion of the additional associated expenses, plus GST, will apply.
- (4) Transportation charges may vary when an outside provider is used. These will be invoiced to the Owner at cost.

OTHER FEES AND CHARGES (Estimate only) – actual fees and charges may vary depending upon the service provider		Daily Fee (excluding GST)	GST	Daily Fee (including GST)
Breaking-in, agistment & pre-training	Breaking-in, agistment and pre-training fees vary depending upon the provider. These will be invoiced directly to the Owner by the provider unless otherwise agreed.			

3. PROPRIETOR'S ADDITIONAL REMUNERATION AND GRATUITIES

1. If the Horse, being an Entire, is sold or retired to stud:
 - (a) an additional fee being an amount equal to 2.5% (plus GST) of the sale price (excluding GST); and
 - (b) one (1) transferable lifetime service right which will entitle the holder of such right to nominate a mare to be provided with a stud service by the Horse during each stud season that the Horse is used to provide stud services commercially, free of any service fee.
2. If the Horse, being other than an Entire to which paragraph (b) applies, is sold while being trained by the Proprietor or within 3 months after ceasing to be trained by the Proprietor, an additional fee being an amount equal to 2.5% (plus GST) of the sale price (excluding GST).
3. Stable hand Prize money Scheme:
 - (a) In NSW this is a mandatory deduction of 1.5% (plus GST) from Prize money (excluding GST) by the Principal Racing Authority (see table below – 5. MANDATORY ALLOCATION OF PRIZE MONEY UNDER THE RULES OF RACING).
 - (b) In Victoria, the Proprietor will charge 1% of Prize money earned (excluding GST) for win only.

Notes:

- (1) The entitlements of the Proprietor to the additional remuneration and gratuities set out in paragraph 1:
 - (a) will be conditional upon the Horse winning a Group 1 or Group 2 race while being trained by the Proprietor, or within 3 months after ceasing to be trained by the Proprietor; but
 - (b) will not be conditional upon the Proprietor being the trainer of the Horse at the time of it being sold or retired to stud.
- (2) The entitlements of the Proprietor to the additional remuneration set out in paragraphs 1 and 2 will also apply to the sale of an interest or share in the Horse.

4. INTEREST – the Proprietor may charge interest on overdue accounts

5. MANDATORY ALLOCATION OF PRIZE MONEY UNDER THE RULES OF RACING

5. MANDATORY ALLOCATION OF PRIZE MONEY UNDER THE RULES OF RACING												
AR90 and Local Rules (LR): VIC – LR32; NSW – LR72(2); SA – LR8.7; QLD – LR71A; NT – LR75 & LR88; WA – LR80G & LR90; TAS – LR17 & LR23	VIC		NSW & ACT	SA						QLD & NT	WA	TAS
	Flat Races	Jumps Races		Flat races			Jumps races					
				Win	Placing		Win	Placing				
					(1)	(2)		(1)	(2)			
Recipient												
➤ Proprietor (trainer)	9.8%	9.8%	9.9%	10%	10%	-	10%	10%	-	10%	10%	10%
➤ Jockey	4.9%	9.8%	4.95%	5%	5%	5%	10%	10%	10%	5%	5%	5%
➤ Stable hand Prize money Scheme	-	-	1.5%	-	-	-	-	-	-	-	-	-
➤ Jockey Insurance & Welfare Scheme	1%	1%	1%	-	-	-	-	-	-	-	-	-
➤ Animal Welfare Fund	1%	1%	1%	-	-	-	-	-	-	-	-	-
➤ Owner	83.3%	78.4%	81.65%	85%	85%	95%	80%	80%	90%	85%	85%	85%

Notes:

- (1) NSW – \$250 or over metropolitan, provincial and country.
 - (2) SA – Placing (1) Prize for the placing is \$300 or more; and (2) Prize for the placing is less than \$300 and greater than \$100.
- Other states and territories may vary.

Proprietor

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This Financial Services Guide was prepared on 1 November, 2018

FINANCIAL SERVICES GUIDE

Of

Triple Crown Bloodstock Pty Ltd

Holder of Australian Financial Services Licence No. 307377

Triple Crown Bloodstock Pty Ltd

ABN: 30 106 587 875

Suite 8, 694 Pacific Hwy, KILLARA NSW 2071, AUSTRALIA

Phone: (02) 9499 5212 Fax: (02) 9499 5213

Email: info@triplecrown.com.au

Website: www.triplecrown.com.au

General

The promotion of horse racing schemes is a financial service regulated by the Corporations Act and requires Triple Crown Bloodstock Pty Ltd ("Promoter"), as the holder of an Australian Financial Services Licence ("Licence"), to provide you with this Financial Services Guide ("FSG") prior to it promoting an interest in a horse racing scheme to you.

This FSG is intended to inform you of certain basic matters relating to the services that the Promoter may provide to you, including the services he is authorised to provide, how you will pay for those services, how the Promoter gets remunerated and what to do if you have a complaint. It is intended that this FSG should assist you in determining whether to utilise any of the financial services that the Promoter is authorised to provide.

This FSG contains general information only and specific information relating to horse racing schemes promoted by the Promoter is contained in the Product Disclosure Statement for each scheme which is available from the Promoter upon request.

Who will be providing the financial service?

The Promoter holds the Licence in its own name and provides the financial services referred to in section 3 of this FSG in its capacity as principal, and not as an authorised representative of any other licensee.

The financial services referred to in section 3 of this FSG are provided either by the Promoter itself or on its behalf by employees or authorised representatives engaged by it in accordance with the Corporations Act.

The Promoter, as principal, is solely responsible for the financial services that it provides pursuant to its Licence.

AFS licensees are required to have adequate Professional Indemnity insurance or an alternative arrangement approved by ASIC. The Promoter obtained Professional Indemnity insurance from Logan Livestock Insurance Agency Pty Ltd on 18 June, 2008. The policy incorporates cover for all current and past employees of the promoter associated with this promotion at time of registration.

What financial services is the Promoter authorised to provide?

The Promoter is authorised to issue interests in horse racing schemes. To obtain an interest in a horse racing scheme you need to obtain from the Promoter a Product Disclosure Statement in relation to the relevant horse and complete the purchaser's acknowledgment and application.

The Promoter is also authorised to provide general advice in relation to horse racing schemes. The Promoter is not authorised to provide personal advice (being advice that takes into account a person's objectives, financial situation and needs) in relation to horse racing schemes.

How do I pay for financial services provided to me by the Promoter?

There is no charge for general advice given to you by the Promoter relating to the acquisition of an interest in a horse racing scheme.

If you do choose to invest in one of the Promoter's horse racing schemes you will be required to pay the purchase price for your relevant interest in that scheme. The purchase price for an interest varies according to the particular horse the subject of a racing scheme and the number of participants in that scheme. The Product Disclosure Statement for the relevant syndicated horses contains details of the purchase price.

How does the Promoter get remunerated for its provision of financial services?

As stated above, the Promoter does not charge for giving general advice in relation to horse racing schemes.

In relation to the acquisition of an interest in a horse racing scheme, the purchase price that you pay the Promoter represents a proportion (based on the number of participants in the scheme) of the acquisition costs for that horse, the costs of promotion (a breakdown of these costs is contained in the Product Disclosure Statement for each syndicated horse) and in some cases a margin.

Is an interest in a horse racing syndicate an investment suitable to my needs and financial circumstances?

As stated in section 3 of this FSG, the Promoter gives general advice only and does not give personal advice that takes into account an investor's objectives, financial situation and needs. A horse racing syndicate is a speculative investment with its own risks and each investor should obtain their own independent advice as to the suitability of such an investment for them.

A copy of the Product Disclosure Statements for each horse racing scheme containing important details about that scheme is available for you upon request and we suggest you study it prior to making any decision to invest.

What do I do if I have a complaint about a financial service provided to me?

If you have a complaint about either the advice that the Promoter has given you or about an interest in a horse racing scheme that you have acquired from him you should take the following steps:

First - contact the Promoter and discuss your complaint with them. The Promoter has an internal dispute resolution process that it will utilise in an effort to resolve your complaint as quickly as possible.

Second – if your complaint is not resolved to your satisfaction after 45 days of having notified and discussed it with the Promoter, you have a right to complain to the Australian Financial Complaints Authority (an external industry dispute resolution body of which the Promoter is a member), whose details are:

AUSTRALIAN FINANCIAL COMPLAINTS AUTHORITY
Telephone: 1300 780 808
Facsimile: (03) 9613 6399
Website: www.afca.org.au
Email: info@afca.org.au
Mail: GPO Box 3, Melbourne, Victoria, 3001