



**2016 FILLY by RUBICK ex CHELSEA HOTEL**

**Offered by**

**TRIPLE CROWN BLOODSTOCK PTY LTD  
Trading as TRIPLE CROWN SYNDICATIONS**

**Product Disclosure Statement**

**Promoter**

Triple Crown Bloodstock Pty Ltd trading as Triple Crown Syndications (ACN 106 587 875) (AFS Licence No: 307377)

Address: Suite 8, 694 Pacific Highway, KILLARA, NSW, 2071

Telephone: 02 9499 5212 Facsimile: 02 9499 5213 Mobile: 0409 010 780

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This Product Disclosure Statement (PDS) was lodged and subsequently approved on 13 February, 2018 by Racing NSW, acting as Lead Regulator for the Australian Securities & Investments Commission (ASIC). No liability as to the contents of this PDS is taken by Racing NSW, its officers, employees or agents. In particular (but without prejudice to the generality of this disclaimer) Racing NSW does not warrant, and has no responsibility for the commercial merits of the scheme referred to in the PDS, the manner in which the syndicates are operated, or the taxation advantages (if any) to which the scheme may give rise.

In offering this Syndicate for investment, the Promoter has complied with the conditions under the ASIC Corporations (Horse Schemes) Instrument 2016/790, including the clause that the total value of the scheme cannot exceed \$500,000 including GST or the total number of participants exceed 50.

### **NOTICE TO POTENTIAL SUBSCRIBERS**

This Product Disclosure Statement should be read in its entirety. Before deciding to acquire a share(s) in the horse herein described you should consider the suitability of this product to you. If you are in any doubt as to the course you should follow you should consult the promoter and/or your bloodstock, financial, legal and taxation advisers to enable you to make an informed decision as to whether to subscribe to this product.

### **COOLING-OFF PERIOD**

Applicants retain the right to "Cool-off" (that is, cancel the purchase of their share(s) in return for a full refund of their application moneys from Triple Crown Bloodstock Pty Ltd) during the "Cooling-off period". The Cooling-off period shall commence from the time when the applicant receives confirmation that they have acquired the product (share) and will cease at the end of the fifth (5<sup>th</sup>) day following. An applicant who wishes to cool-off must notify Triple Crown Bloodstock Pty Ltd in writing of his/her intention to cool-off within the cooling-off period.

### **PROMOTER**

The Promoter of this offer is Triple Crown Bloodstock Pty Ltd (ACN 106 587 875) trading as Triple Crown Syndications. Triple Crown Bloodstock Pty Ltd holds an AFS Licence (No. 307377).

The Directors of Triple Crown Bloodstock Pty Ltd are:

- Christopher Ward; and,
- Michael Ward.

The registered office of Triple Crown Bloodstock Pty Ltd is:

Suite 8  
694 Pacific Highway  
KILLARA NSW 2071

### **SYNDICATE MANAGER**

The Syndicate shall be fully managed during its term by Triple Crown Bloodstock Pty Ltd. Triple Crown Bloodstock Pty Ltd has been an industry leader in the syndication of racehorses for many years and is respected for its success which stems from its professional approach.

## **OFFER**

A twenty (20) share syndicate is being formed to race the following horse:

**Pedigree:** By Rubick ex Chelsea Hotel (see attached pedigree page for further details)

**Sex:** Filly

**Date Foaled:** 18 August, 2016

**Colour:** Bay

**BOBS Eligible:** Yes

**Ownership or Lease:** Ownership

**Trainer:** Peter & Paul Snowden

**Purchase Price:** \$13,990 (inclusive of GST) per 10% shareholding pro-rata

**Inclusions in the Share Price:** Purchase, breaking-in, agistment, Inglis Race Series nomination, Golden Slipper & Golden Rose race nominations, PDS production and registration fees, pre-training, training, transport, veterinary, farrier, insurance, GST and other expenses prior to 1 April, 2018.

## **TRAINER**

The appointed trainers of the horse being offered are multiple Group 1 winning trainers, Peter & Paul Snowden. Peter and Paul have expressed their pleasure and consent at being appointed the trainers of the filly as can be seen in the attachments.

## **VETERINARY REPORT**

Dr Allan Frogley BVSc MRCVS has examined the filly and provided a veterinary report attaining to its suitability as a racing proposition. A copy of this veterinary report is provided as an attachment.

## **INSURANCE**

The Promoter has arranged and paid for insurance cover (see the attachments for further details) with Logan Livestock Insurance Agency Pty Ltd (ACN 001 826 204). The benefit of this insurance cover will be passed onto the Syndicate Shareholders, on a pro-rata basis, upon the expiration of the "cooling-off" period.

Prospective investors should be aware that the current insurance cover will expire on 1 April, 2018. Please note that subsequent insurance cover is optional for each owner.

## **PRIZEMONEY**

All net prizemoney will be directly deposited pro-rata into each Syndicate owner's nominated bank account by Racing NSW.

## **DETAILS OF THE FILLY'S ACQUISITION**

On 11 February, 2018 the filly was consigned to the Inglis Classic Yearling Sale by Torryburn Stud, Torryburn, NSW (As Agent). Triple Crown Bloodstock Pty Ltd purchased the filly for \$88,000 inclusive of GST. The Promoter has a legally enforceable right to possession of the filly and will receive unencumbered title upon payment of the purchase price to William Inglis & Son Ltd prior to the registration of the horse. Registration of the horse will then take place after the completion of the Syndicate and the allotment of shares. At this time the Promoter will ensure that the participants in the syndicate have unencumbered title to the horse and will provide Racing NSW with a Vendor Release Statement confirming the same. An estimate of the additional expenses incurred by Triple Crown Bloodstock Pty Ltd in the syndication of this filly since acquisition can be seen in the section titled "Expenses Incurred by the Promoter".

## **HOW TO APPLY FOR A SHARE**

1. Complete the accompanying Application Form (including the Racing NSW Authority to Sign form and the Registrar of Racehorses Horse Registration form) and return it to Triple Crown Bloodstock Pty Ltd either by scanning and emailing it back or by facsimile on (02) 9499 5213 or by post to the following address:

Triple Crown Bloodstock Pty Ltd  
Suite 8  
694 Pacific Highway  
KILLARA NSW 2071

2. Enclose cash, cheque or money order for \$13,990.00 per 10% shareholding pro-rata made payable to "Triple Crown Bloodstock Pty Ltd Trust Account". Alternatively, a direct deposit for the same amount can be made into the following bank account:

Account Name: Triple Crown Bloodstock Pty Ltd Trust Account  
Bank: ANZ Bank  
BSB: 012323  
Account No: 491844012  
Reference: .....(Insert Your Name or Phone Number)

Please note that applications for shares are only accepted once both of the steps above have been completed.

Application moneys received by Triple Crown Bloodstock Pty Ltd will be held in a separate trust account until the syndication of the filly has been completed.

If the Syndicate is not fully subscribed within six (6) months of invitations for shares first being made to the public then all application moneys held in trust, together with interest, will be returned to applicants within ten (10) business days.

The Promoter will register the Syndicate within 45 days of applications for the minimum number of interests in the syndicate being received or the minimum amount for the Syndicate has been raised, unless the time period elapsed since the Product Disclosure Statement was approved by the Lead Regulator is under 6 months and shares remain available for sale, in which case the Promoter will register the Syndicate within 45 days of the sale of the final share in the scheme as required.

The Promoter confirms that any personal property security interest registered against the title to the horse has been released or will be released and that the Promoter will, before or on registration of the syndicate with Racing NSW, confirm to Racing NSW that the personal property security interest has been released if applicable.

## **TRANSFER OF SHARES**

The transfer of shares is permitted under the terms of the Syndicate Deed, which outlines the circumstances in which a transfer may take place and the process that must be followed.

## **EXPENSES INCURRED BY THE PROMOTER**

The following expenses (excluding general operating expenses) have either been incurred or are expected to be incurred by Triple Crown Bloodstock Pty Ltd in the formation of the 2016 Epaulette ex Rosa's Magic Filly Syndicate (figures are GST inclusive): Horse Purchase \$88,000, Inglis Race Series Nomination \$2,200, Golden Slipper & Golden Rose race nominations \$385, Breaking-in Fee \$3,100, Agistment \$506, Pre-training \$1,382, Training \$1,771, Farrier \$400, Veterinary \$950, Transport \$1,200, Dentist \$75, Insurance \$414, Accounting Costs \$1,100, Printing/Stationary/Postage/Telecommunications/Photography \$2,200, Office/ Administration/Salaries \$6,000, Advertising \$9,900, PDS Registration Fee with Lead Regulator \$200, Syndicate Registration Fees \$750, ASIC PDS Notice in Use Fee \$37, Professional Indemnity Insurance Averaged \$1,543, Sales Attendance Averaged \$1,650. Total of all expenses incurred by the Promoter is \$123,763 plus some additional business operating overheads.

Any additional costs, over and above those anticipated, prior to 1 April, 2018 will be borne by Triple Crown Bloodstock Pty Ltd and will not become the responsibility of the Syndicate members.

### **STATEMENT REGARDING ANY POTENTIAL CONFLICT OF INTEREST**

The Promoter does not indirectly influence experts such as trainers, veterinarians or valuers to provide advice which is biased, nor do these experts have any commercial reason to provide advice which is biased.

In an instance when the Promoter or trainer retains a share in the horse, the share is a commercial investment and the appropriate fees are apportioned to the trainer or Promoter as they are to all Shareholders. Neither the Promoter, Manager or any of their associates has a beneficial financial interest in the sire or dam of the horse. Furthermore, the Promoter is not entitled to a free service to the sire of the horse the subject of the Product Disclosure Statement.

Some Promoters in the industry receive administration fee rebates from trainers based on the daily training fees paid by their owners. We declare that Triple Crown Bloodstock Pty Ltd does not receive any trainer rebates.

### **OTHER IMPORTANT INFORMATION**

The Syndicate will be governed and operated in accordance with the Syndicate Deed, which is available upon request at any time from Triple Crown Bloodstock Pty Ltd.

The Promoter will manage the horse, including lodgement of accounts with the Lead Regulator or ASIC for the pre-racing and racing life of the horse, until the Syndicate is officially wound-up by the Syndicate Manager after the sale, death or retirement from racing and/or breeding of the horse. Management activities may include, but are not limited to, race programming and jockey selection, regular communication on your horse's progress, arranging veterinary care for the horse, organising stable visits for owners, managing race day procedures and performing all general administration duties for the Syndicate.

The owner of each share shall be liable for a pro-rata share of costs associated with operating the Syndicate and racing the filly. It is reasonably anticipated that these costs (excluding optional insurance cover) will equate to approximately four hundred dollars (\$400) plus GST on average per 10% share per month. However, as it is impossible to accurately predict the future Syndicate expenses due to the many variables involved, the actual costs may vary from these estimates. The anticipated costs include, but are not limited to, training expenses, agistment expenses, transport expenses, veterinary charges and syndicate management fees, with the latter currently set at \$65.00 plus GST per month per 10% shareholder pro-rata, subject to a minimum fee of \$65.00 plus GST per month per shareholder. On this point of costs it should also be noted that any Syndicate participant may elect to have the horse tested for a prohibitive substance under the Australian Rules of Racing with the cost of testing to be borne by all participants (whether or not they elected to have the horse tested).

Should the filly and/or any interest in the filly (including any allocation and sale of additional shares) and/or any shares ever be sold in the filly, then the Syndicate Manager shall be entitled to receive a commission of 10% plus GST of the gross sale price. Similarly, if an insurance claim is ever made on the filly then the Syndicate Manager shall be entitled to the same commission rate of the claim paid by the insurer. Finally, if Shareholders ever breed from the filly, the Syndicate Manager shall be entitled to receive 10% plus GST of the gross income revenue received from the sale of the progeny of the filly.

## **STATEMENT OF INDUSTRY RISKS**

An investment in a racehorse should be considered speculative in nature. While it may prove very rewarding, there are a number of potential risks associated with this type of investment, such as (but not limited to) the following: horses can become injured; not all horses proceed to a race career; not all racehorses earn prizemoney; insurance, when secured, may not cover all possible scenarios; male

horses may be gelded; the prospect of a future breeding career may not eventuate; and, the value of each share may fluctuate over time both higher and lower depending on a range of factors, including the race track performance of the horse and the level of supply and demand for bloodstock at any point in time.

## **DISPUTE RESOLUTION**

In the event that a dispute arises, the Shareholder should first contact the Syndicate Manager to attempt to resolve it. If the dispute has not been resolved within forty five (45) days then the complainant may be entitled to refer their dispute to Racing NSW should their concerns be horse or racing related, alternatively to the Financial Ombudsman Service Limited ("FOS") should their concerns relate to financial services provided under this Product Disclosure Statement. Triple Crown's FOS Membership Number is 12452.

## **DECLARATION**

We, Christopher Ward and Michael Ward, as directors of Triple Crown Bloodstock Pty Ltd declare that:-

- (a) the statements contained in this Product Disclosure Statement are true and correct, and not misleading in their form or content; and
- (b) this Product Disclosure Statement contains all information which prospective investors and their advisers would require and reasonably expect to find in a Product Disclosure Statement for the purpose of making an informed assessment about investment in the Syndicate.



-----  
CHRIS HUGH WARD  
Director  
TRIPLE CROWN BLOODSTOCK PTY LTD  
AFSL No. 307377



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MICHAEL JOSEPH WARD  
Director  
TRIPLE CROWN BLOODSTOCK PTY LTD  
AFSL No. 307377

## **PEDIGREE**

The following page(s) contains the pedigree of the filly.

All of the information provided within the pedigree is believed to be true and correct. However, due to the evolving nature of pedigrees, which are subject to regular review and updates, Triple Crown Bloodstock Pty Ltd cannot be held responsible for any inaccuracies.

## BAY FILLY

Foaled 18<sup>th</sup> August 2016

|               |                 |                   |                   |
|---------------|-----------------|-------------------|-------------------|
| Sire          | Encosta de Lago | Fairy King        | Northern Dancer   |
| RUBICK        |                 | Shoal Creek       | Star Way          |
| 2011          | Sliding Cube    | Rock of Gibraltar | <b>Danehill</b>   |
|               |                 | Shantha's Choice  | Canny Lad         |
| Dam           | Dylan Thomas    | <b>Danehill</b>   | Danzig            |
| CHELSEA HOTEL |                 | Lagrion           | Diesis            |
| 2009          | Chelsey's Image | Holy Bull         | Great Above       |
|               |                 | Lucky Chelsey     | Danzig Connection |

**RUBICK (AUS) (Bay 2011-Stud 2015). 3 wins-2 at 2-at 1000m, 1100m, A\$379,500, MRC Schillaci S., Gr.2, Blue Diamond Prelude (c&g), Gr.3, ATC TAB Iphone App 2YO P., 3d ATC Challenge S., Gr.2, 4th MRC Blue Diamond S., Gr.1. Out of SW Sliding Cube (AJC San Domenico S., Gr.3), a three-quarter sister to SW Redoute's Choice and SW Platinum Scissors, a half-sister to SW Manhattan Rain and SW Echoes of Heaven. His oldest progeny are yearlings.**

### 1st dam

CHELSEA HOTEL, by Dylan Thomas. **6 wins** at 1200m, A\$99,285, ATC Cellarbrations H., MRC Champagne Pommery H. This is her first foal.

### 2nd dam

**CHELSEY'S IMAGE**, by Holy Bull. **2 wins** at 2, Hastings Park Sadie Diamond Futurity, **L**, British Columbia Cup Debutante S., **L**, 2d Hastings Park CTHS Sales S. (2YO), **L**, Timber Music S., **L**, 3d Hastings Park Fantasy S., **L**, CTHS Sales S. (3YO), **L**, 4th Hastings Park British Columbia Cup Dogwood H., **L**, Emerald Downs H., **L**. Dam of 4 named foals, 3 to race, inc: - Chelsea Hotel. **6 wins** at 1200m, A\$99,285, ATC Cellarbrations H., MRC Champagne Pommery H.

### 3rd dam

LUCKY CHELSEY, by Danzig Connection. Unraced. Half-sister to **CHELSEY FLOWER** (dam of **KENTUCKY DYNAMITE**), **KEY GUY**. Dam of 8 foals, all raced, **6 winners**, inc: -

**CHELSEY'S IMAGE** (Holy Bull). **2 wins** at 2, Hastings Park Sadie Diamond Futurity, **L**, British Columbia Cup Debutante S., **L**, 2d Hastings Park CTHS Sales S. (2YO), **L**, Timber Music S., **L**, 3d Hastings Park Fantasy S., **L**, CTHS Sales S. (3YO), **L**, 4th Hastings Park British Columbia Cup Dogwood H., **L**, Emerald Downs H., **L**.

At the Ritz. **6 wins** from 6f to 8½f in Canada.

### 4th dam

CHELSEY DANCER, by Affirmed. Raced twice. Three-quarter-sister to **Lady Cheyne**, half-sister to **LADY TAP** (dam of **LADY'S LAUGHTER**), **Golden Spirit**, **Gorky**, Chelsea Green (dam of **PURE FUN**), Fleet Chelseanna (dam of **ANDIOTIS**). Dam of 14 named foals, 10 to race, **7 winners**, inc: -

**CHELSEY FLOWER** (His Majesty). **8 wins-2 at 2-from 1m to 11f**, US\$568,305, Belmont Flower Bowl H., **Gr.1**, Sheepshead Bay H., **Gr.2**, Miss Grillo S., **Gr.3**, Allowance-3 times, Gulfstream Park Allowance, 3d Belmont Sheepshead Bay H., **Gr.2**, New York H., **Gr.2**, Hialeah Black Helen H., **Gr.2**, Gulfstream Park The Very One H., **Gr.3**, 4th Saratoga Diana H., **Gr.2**, Glens Falls S., **L**. Dam of -

**KENTUCKY DYNAMITE** (Kingmambo). **3 wins-1 at 2-at 1600m, 2000m, €190,840**, Chantilly Prix Paul de Moussac, **Gr.3**, Saint-Cloud Prix Bay Middleton, Prix Victrix, 2d Chantilly Prix Jean Prat, **Gr.1**, Grand Prix de Vichy, **Gr.3**, 4th Chantilly Prix du Chemin de Fer du Nord, **Gr.3**. Sire.

**Wow** (Storm Cat). **3 wins** from 7f to 8¼f, 2d Remington Park Norman S., **L**, 3d Louisiana Downs Prelude S., **L**. Sire.

Floral Beauty. Unraced. Dam of -

**TAPIT GIRL** (Tapit). Champion 2YO Filly in Turkey in 2012. **6 wins-3 at 2-from 1100m to 1600m** in Turkey, Istanbul SIAY Ve Sah Der, **L**, I. İnönü, **L**, 2d Istanbul Kemal Sahir Kurutluoglu, **L**, 4th Istanbul Hagia Sophia S., **L**.

Floral Park. **3 wins-2 at 2-at 4½f, 6f**, Churchill Downs Allowance. Dam of -

**DOUGS BUDDY** (Badge of Silver). **6 wins-4 at 2-from 5½f to 1m**, US\$213,959, Northlands Park Edmonton Juvenile S., **L**, Birdcatcher S., **L**, Canadian Juvenile S., **L**, 2d Northlands Park Canadian Derby, **Gr.3**.

**FLORAL SKY** (Sky Mesa). **4 wins-2 at 2-to 7f**, US\$206,732, Thistledown Scarlet & Gray H., **L**, 2d Thistledown Diana S., **L**, 3d Thistledown Best of Ohio Distaff S., **L**, 4th Thistledown Scarlet & Gray H., **L**.



### 5th dam

**Chelseanna**, by Nice Dancer. **8 wins**, 2d Woodbine La Prevoyante S., **L**. Sister to Princess Cornwall (dam of **SPENCER GORGE**), half-sister to **Squire Cornwall**. Dam of fourteen foals, thirteen to race, **ten winners**, inc: -

**LADY TAP** (f. by Pleasant Tap). **5 wins**-1 at 2-from 6½f to 8½f, US\$231,198, Pleasanton Alameda County Fillies & Mares H., **L**, 2d Bay Meadows Dash H., **L**, Golden Gate Variety Baby H., **L**, 3d Del Mar June Darling S., **L**, Fairplex EB Johnston S., **L**, 4th Laurel Barbara Fritchie H., **Gr.2**, Santa Anita Lady's Secret H., **Gr.2**, Golden Gate Soviet Problem H., **L**, Hollywood Park Corona H., **L**, Del Mar Fantastic Girl H., **L**. Dam of-

**LADY'S LAUGHTER** (Distorted Humor). **4 wins**-1 at 2-from 6½f to 1m, Turfway Park Likely Exchange S., **L**, Keeneland Allowance, 2d Turfway Park Gowell S., **L**, 3d Ellis Park Gardenia H., **Gr.3**, Indiana Live Casino S., **L**, Kentucky Downs Kentucky Cup Ladies Turf S., **L**, 4th Churchill Downs Eight Belles S., **Gr.3**, Ellis Park Gardenia H., **Gr.3**, Keeneland Doubledogdare S., **Gr.3**, Turfway Park Holiday Inaugural S., **L**. Producer.

**Golden Spirit** (f. by Plugged Nickle). **Winner** at 2, 2d Woodbine Fanfreluche S., **L**, 3d Woodbine Yearling Sales S. (f), **L**.

**Gorky** (c. by Key to the Mint). **8 wins**-1 at 2, US\$285,263, 2d Santa Anita Pompeii Court H., **L**. Sire.

Chelsea Green (f. by Key to the Mint). **3 wins** in U.S.A. Dam of-

**PURE FUN** (Pure Prize). **4 wins**-3 at 2-at 1m, 8½f, US\$487,360, Hollywood Starlet S., **Gr.1**, Indiana Oaks, **Gr.2**, 3d Turfway Park Bourbonette Oaks, **Gr.3**.

**Lady Cheyne** (Affirmed). **3 wins** from 1m to 8½f, 2d Longchamp Prix de Villeneuve l'Etang, 3d Belmont Plenty of Grace S., **L**, Delaware Park George Rosenberger Memorial S., 4th Delaware Park Cordially S., **L**. Producer.

Fleet Chelseanna (f. by Afleet). **Winner** at 6f in U.S.A. Dam of-

**ANDIOTIS** (Stephanotis). **4 wins** from 6½f to 8½f, Fonner Park Bosselman-Gus Fonner S., **L**, Northlands Park Westerner H., **L**, 2d Northlands Park Speed to Spare S., **L**, Sun Sprint Championship H., **L**, 3d Northlands Park Speed to Spare S., **L**, Don Fleming H., **L**, 4th Northlands Park Journal H., **L**, Spangled Jimmy H., **L**.

Fleet Amyanne. Placed in Canada. Dam of-

**TAYLOR SAID** (Stephanotis). **7 wins**-1 at 2-from 3½f to 9f, US\$277,991, Emerald Downs Longacres Mile H., **Gr.3**, Hastings Park British Columbia Cup Classic, **L**, John Longden 6000 S., **L**, Lieutenant Governors' H., **L**, Sir Winston Churchill H., **L**, 2d Hastings Park Derby Bar & Grill Express S., **L**.

**TAYLORS DEAL** (Second in Command). **9 wins**-2 at 2-from 5f to 8½f, US\$250,006, Turf Paradise Derby, **L**, Hastings Park British Columbia Cup Stellar's Jay H., **L**, Express S., **L**, John Longden 6000 S., **L**, Turf Paradise Lost in the Fog Juvenile S., 2d Hastings Park Chris Loseth H., **L**, 4th Hastings Park British Columbia Derby, **Gr.3**.

Chelsea Corner (f. by Relaunch). Unraced. Grandam of-

**RANCANTE** (Shuttle Diplomacy). **4 wins** at 2 in Argentina, La Plata Clasico Clemente Benavides, **Gr.2**, Clasico Fortunato Damiani, **Gr.3**, Clasico Amilcar A Mercader, **L**, 2d La Plata Clasico Polla de Potrancas de La Plata, **Gr.2**, Clasico Derli A Gomez, **Gr.3**, 3d La Plata Clasico Raul Aristegui, **Gr.2**, 4th La Plata Clasico Luis Monteverde, **L**.

### 6th dam

**LADY CORNWALL**, by Cornish Prince. **4 wins**-3 at 2, Fort Erie Boniface S., **L**, Yearling Sales S. (f), **L**, Star Shoot S., **L**, 2d Woodbine My Dear S., **L**. Half-sister to **PAR EXCELLANCE** (dam of **KHOZAAM**), **REGAL PATH**, **Austro**, Pollcam (dam of **POLLY DANIELS**), Dream Appeal (dam of **SYDNEY'S DREAM**, **MARSKIN**, **SETTLERS COVE**). Dam of 10 foals, 8 to race, **7 winners**, inc: -

**Chelseanna** (Nice Dancer). **8 wins**, 2d Woodbine La Prevoyante S., **L**.

**Squire Cornwall** (Tentam). **5 wins**, 2d Woodbine Colin S., **L**.

Dame Cornwall. **Winner** in U.S.A. Grandam of-

**RUSHISCOMINGUP** (Bold Executive). **8 wins** at 6f, US\$381,202, Woodbine Nearctic H., **L**, 2d Woodbine Bold Venture H., **L**, Shepperton S., **L**-twice, Kenora S., **L**-twice.

**RARE EXECUTIVE** (Bold Executive). **5 wins**-1 at 2-at 6½f, Woodbine Jammed Lovely S., **L**, 2d Woodbine Ballade S., **L**, 3d Woodbine Seaway S., **L**. Producer.

**SCOTZANNA** (Silver Deputy). **10 wins** at 6f, 7f, US\$571,569, Belmont Prioress S., **Gr.2**, Gulfstream Park First Lady H., **Gr.3**, 2d Gulfstream Park Shirley Jones H., **Gr.3**, Pimlico Columbia S., **Gr.3**. Producer.

### 7th dam

**CAM SHAFT**, by Fleet Path. **2 wins**-1 at 2-at 5f, 6f in U.S.A. Half-sister to **BALLY VIMY**, **BEAU IDEAL**, **SANS SOUCI**, **High Camber**. Dam of 10 foals, all raced, **9 winners**, inc: -

**REGAL PATH** (Viceregal). **6 wins**, Exhibition Park Victoria Day H., **L**, Spring Fashion H., **L**, 2d Longacres Speed H., **L**.

**PAR EXCELLANCE** (L'Enjoleur). Top filly on The 1979 Canadian 2YO Free H. **4 wins**-3 at 2, Canadian Oaks, **L**, Woodbine Princess Elizabeth S., **L**, Ontario Debutante S., **L**, Natalma S., **L**, 2d Pimlico Selima S., **Gr.1**. Dam of-

**KHOZAAM** (Seattle Slew). **4 wins**-3 at 2-from 6f to 1m, Newbury Washington Singer S., **L**, 2d Ascot Royal Lodge S., **Gr.2**, Oaklawn Park Hot Springs H., **L**, 3d Oaklawn Park Essex H., **Gr.3**. Sire.

**Canadian Mill** (Mill Reef). **Winner** at 2, Newmarket Cecil Boyd-Rochfort 2YO S., 2d Newmarket Cheveley Park S., **Gr.1**. Dam of-

**HAWAJISS** (Kris). **4 wins**-2 at 2-from 7f to 8¼f, £157,366, Goodwood Nassau S., **Gr.2**, Doncaster May Hill S., **Gr.3**, 2d Irish Oaks, **Gr.1**, 3d The Oaks, **Gr.1**. Dam of- Royal Kiss. Placed at 3 in GB. Dam of-

**NASHVILLE** (Darci Brahma). **14 wins** from 1200m to 2100m, NZ\$972,350, A\$500, to 2017-18, Otaki Maori RC WFA S., **Gr.1**-twice, 2d Hawkes Bay Spring Classic, **Gr.1**, Waikato RC Herbie Dyke S., **Gr.1**, WRC Captain Cook S., **Gr.1**.

Sweet Maggie. Unraced. Dam of-

**VINNIE EAGLE - MASSIVE MILLENNIUM** (H.K.) (I Am Invincible). **4 wins**-2 at 2-at 900m, 1200m, NZ\$78,745, HK\$953,700, to 2016-17, ARC Eclipse S., **Gr.3**, HKJC Lam Tin H., Waikato RC Waikato Farmers Markets H., 2d Waikato RC James & Annie Sarten Memorial S., **Gr.3**.

Excellent Prospect. Unraced. Dam of-

**FAIZA** (Danehill). **2 wins** at 2, A\$248,900, VATC Blue Diamond Prelude (f), **Gr.3**, 2d STC Reisling Slipper Trial S., **Gr.2**, VATC Blue Diamond Preview (f), **Gr.3**, 3d QTC Sir Byrnes Hart S., **Gr.2**. Producer.

**LADY CORNWALL** (Cornish Prince). **4 wins**-3 at 2, Fort Erie Boniface S., **L**, Yearling Sales S. (f), **L**, Star Shoot S., **L**, 2d Woodbine My Dear S., **L**.

Dream Appeal. Raced once. Dam of-

**SYDNEY'S DREAM** (Bletchingly). **5 wins**-3 at 2-at 1100m, 1200m, R223,040, Scottsville Allan Robertson Fillies Futurity, **Gr.1**, Greyville Poinsettia S., **L**, 3d Newmarket Henry Eatwell Memorial H., **Gr.3**. Dam of-

**CHARGE FORWARD** (Red Ransom). **4 wins**-2 at 2-to 1200m, A\$1,232,985, AJC Galaxy H., **Gr.1**, San Domenico S., **Gr.2**, STC Todman Slipper Trial S., **Gr.2**, 2d STC Golden Slipper S., **Gr.1**. Successful sire.

**MARSKIN** (Marscay). **3 wins** at 2, A\$102,480, AJC Gimcrack S., **Gr.3**, STC Holidays H., 2d STC Reisling Slipper Trial S., **Gr.2**, 3d AJC Keith Mackay H., **L**. Dam of-

**DONNA NATALIA** (Anabaa). **Winner** at 2, A\$104,760, AJC Gimcrack S., **L**, 2d VRC Moomba P., **L**, 3d STC Silver Slipper S., **Gr.2**, AJC Kindergarten S., **Gr.3**. Dam of- Donna's Appeal. **3 wins** to 1350m, A\$106,835, AJC Campbell's Cash & Carry H., 2d AJC Hurstville Community Private Hospital H. Dam of-

**SOUTHERN LEGEND** (Not a Single Doubt). **7 wins** to 1400m, A\$245,580, HK\$5,299,400, to 2017-18 in Aust. and HK, ATC Darby Munro S., **L**, Sydney Markets Foundation H., 3d ATC Theo Marks S., **Gr.2**, VRC Tab.com.au S., **Gr.2**.

**SETTLERS COVE** (Luskin Star). **5 wins**-2 at 2-at 1100m, 1200m, A\$100,680, STC Birthday Card H., **L**, AJC Tooheys Red H., STC Sefton Welter H., Warlock H. Dam of-

**KIDMAN'S COVE** (Marscay). **16 wins**-3 at 2-from 1000m to 1600m, A\$722,763, AJC Apollo S., **Gr.2**, Expressway S., **Gr.2**, STC Canterbury S., **Gr.2**, 2d STC Phar Lap S., **Gr.2**.

Eliza Cove. Unraced. Dam of-

**DIAMOND COVE** (Octagonal). **7 wins** to 1400m, A\$319,630, TRC Bow Mistress Trophy, **L**, MRC Riviera Deck P., 3d SAJC Christmas H., **L**, TTC Vamos S., **L**. Dam of-

**MONTAUK** (More Than Ready). **6 wins** from 1400m to 2000m, A\$503,690, to 2017-18, ATC Summer Cup, **Gr.3**, 2d ATC Winter Cup, **L**, 3d ATC Cup, **L**, ATC Randwick City S., **L**.

Apparel. **3 wins** to 1300m, BTC Interforms Printing H., VATC Special H. Dam of-

**GEORGETTE SILK** (Flying Spur). **Winner** at 2, A\$86,400, AJC Widden S., **L**, 2d AJC Kurnell 2YO P., 3d AJC Kindergarten S., **Gr.3**.

Thunder Cat. Placed at 2, 2d SAJC Hand Painted Ornamental H. Grandam of-

**PEPPERWOOD** (Easy Rocking). **11 wins**-1 at 2-from 1200m to 1800m, A\$1,050,021, BTC Doomben Classic, **Gr.3**, BRC Spear Chief H., **L**, BTC Bernborough H., **L**-twice, 3d Queensland Guineas, **Gr.2**.

### **APPROVAL OF PRODUCT DISCLOSURE STATEMENT BY RACING NSW**

Racing NSW has provided its approval of this Product Disclosure Statement as can be seen on the following page.



13 February 2018

Mr Michael Ward  
Triple Crown Bloodstock Pty Ltd  
Suite 8, 694 Pacific Highway  
**KILLARA NSW 2071**

Dear Mr Ward

**RE: Product Disclosure Statement for the 2016 filly by RUBICK X CHELSEA HOTEL**

The disclosure material lodged in respect of the above racehorse has been examined and appears to comply with the provisions required by the Australian Securities & Investments Commission.

Approval is hereby granted for the promotion to proceed.

This approval is conditional on the following:

- that all advertisements in respect of the scheme are submitted to Racing NSW for approval;
- **that advertisements are NOT published until Racing NSW approval has been granted;**

**Notes:**

- **the PDS is valid for 6 months from the date of this correspondence;**
- **all persons who make an enquiry to purchase a share are to be issued with the Approved PDS;**
- advertisements include Print Media, SKY Channel, radio, website and all other advertisements.

Yours faithfully

**Courtney Blaine  
For Racing NSW**

### **CONSENT TO ACT AS SYNDICATE MANAGER**

Triple Crown Bloodstock Pty Ltd has provided on the following page its consent to act as Syndicate Manager.



12 February 2018

**Letter of Consent to Act as Syndicate Manager**

We wish to confirm that Triple Crown Bloodstock Pty Ltd is very pleased to act as Syndicate Manager for the 2016 Rubick ex Chelsea Hotel filly Syndicate. Triple Crown Bloodstock Pty Ltd is a Racing NSW Approved Promoter and holder of Australian Financial Services Licence No. 307377.

Yours sincerely,

**Michael Ward**

**Director**

### **CONSENT TO ACT AS TRAINER**

Peter Snowden and Paul Snowden have provided on the following page their consent to act as trainers of the filly for the Syndicate.



Snowden Racing Pty Ltd  
28 High Street Randwick NSW 2031  
PO Box 511, Kensington NSW 1465  
P 02 9326 7866 F 02 9398 2263  
[www.snowdenracing.com.au](http://www.snowdenracing.com.au)

12 February 2018

To whom it may concern,

I am very pleased to be appointed the trainer of the 2016 Rubick / Chelsea Hotel filly on behalf of the ownership group to be formed by my exclusive syndicators Triple Crown Syndications.

I look forward to sharing lots of fun at the races in the future with Triple Crown and their team of owners who race this filly.

Finally, please note that I authorise Triple Crown Syndications to list myself and my son Paul as the trainer of this filly in any advertising material relating to the syndicate.

Yours sincerely,

Peter Snowden





### **VETERINARY CERTIFICATE**

Dr Allan Frogley BVSc MRCVS has provided on the following page a veterinary certificate confirming the filly's suitability for racing. As at the date of this Product Disclosure Statement Triple Crown Bloodstock Pty Ltd knows of no fact or occurrence which renders any statement contained in the veterinary certificate materially inaccurate.

# WARWICK FARM EQUINE CENTRE PTY LTD

A.C.N.053 463 399 A.B.N.77 053 463 399

10 BULL STREET  
WARWICK FARM  
NSW 2170  
Phone: (02) 9601 3444  
Fax: (02) 9821 2081  
E: [warwickfarmequine@bigpond.com](mailto:warwickfarmequine@bigpond.com)  
W: [www.warwickfarmequine.com.au](http://www.warwickfarmequine.com.au)



## VETERINARY SURGEONS

DR. ALLAN FROGLEY B.V.Sc. M.R.C.V.S.  
DR. EDWINA PALMER B.Appl.Sc. B.V.Sc.  
DR. JESSICA TANNER B.V.Sc.

## CONSULTING SPECIALIST

DR. NICHOLAS KANNEGIERER  
B.V.Sc. Dip. Vet. Clin. Stud. Ph.D. F.A.C.V.S.  
DR. HADLEY WILLSALLEN  
B.V.Sc., M.A.C.V.Sc. (Surgery of Horses) D.A.C.V.S.-L.A.  
Specialist in Equine Surgery

## VETERINARY CERTIFICATE

### Lot 314 Inglis Classic Yearling Sale 2018

**Animal Presented As:** Sire: Rubick Dam: Chelsea Hotel

**Signalment:** One Year Old Bay Thoroughbred Filly

On Sunday 11<sup>th</sup> February, 2018, I examined the filly at the Inglis Classic Yearling Sale.

**Branded:** NSS:

OSS: 5 over 6

**Exam Requested By:** Triple Crown Bloodstock Pty Ltd

**Findings:** In my opinion, based on the physical examination, the filly is suitable to be a racing proposition.

**Veterinarian:** Dr Allan Frogley  
Warwick Farm Equine Centre  
10 Bull Street  
Warwick Farm NSW 2170

This Certificate has been prepared for inclusion in the disclosure statement by the horse syndicate and at the time of examination could be relied upon as a true document.

The issuing veterinarian has no financial or other interest in the horse syndicate or monies raised from the syndicate.

**Signature:**

**Dr Allan Frogley B.V.Sc. MRCVS**  
**Warwick Farm Equine Centre**

## **MEMORANDUM OF INSURANCE**

Logan Livestock Insurance Agency Pty Ltd has provided the Memorandum of Insurance for the filly on the following page.



# Logan Livestock Insurance Agency Pty Ltd

ABN 81 001 826 204

[www.logans.com.au](http://www.logans.com.au)

AFS Licence No. 238959

LEVEL 3, 357 MILITARY RD, CREMORNE TELEPHONE: (02) 9909 1499 FAX: (02) 9909 8057

Correspondence: P.O. BOX 360, CREMORNE NSW 2090 AUSTRALIA email: [postmaster@logans.com.au](mailto:postmaster@logans.com.au)

12/02/2018

Triple Crown Bloodstock  
Suite 8, 694 Pacific Hwy  
Killara NSW 2071

## **MEMORANDUM OF INSURANCE**

**Policy No:** TBA

**Insured:** Triple Crown Bloodstock Pty Ltd

**Interest:** Unnamed ICYS Lot 314

2016 Bay Filly  
Rubick X Chelsea Hotel

**Use:** Rearing

**Limit of Liability:** Aud\$80,000 being 100%

**Period:** 11<sup>th</sup> of February 2018 to 4.00pm 1<sup>st</sup> April 2018

### **Coverage**

#### **Summary:**

Mortality Insurance  
Australia & New Zealand Territorial Limits  
90 Day Extension Clause  
Limited Theft and Unlawful Removal Endorsement  
Terrorism Exclusion Endorsement  
Emergency Lifesaving Surgery Extension - \$10,000 limit

**Underwriters:** Lloyd's of London

Information on horse insurance is available at -:  
[www.logans.com.au](http://www.logans.com.au)

The Financial Services Guide and Insurance Policy are held by the Syndicator as syndicate manager and/or arranger of the insurance. They are available from them on request.

This Memorandum of Insurance is provided for inclusion in the Promoters Disclosure Statement for the sale of shares in the horse noted above and does not replace the terms and conditions of the policy.

Insurance is subject to payment of premium within the normal credit terms.



SNOWDEN RACING PTY LTD (ABN 56 167 131 740)

**FEES NOTICE [from 1/12/2017]**

This Fees Notice is intended to provide an Owner with an estimate only of fees and expenses. It is not a fixed price quotation. Fees and charges are invoiced monthly in arrears. It is not possible to indicate in advance the exact total monthly cost. While some fees and charges are at a daily rate (e.g. training fee etc.), others are per treatment (e.g. chiropractic, dentistry, farriery, veterinary etc.), and transportation per trip. Also, horses vary in the rate at which they mature and progress while on agistment and throughout each preparation.

| 1. PROPRIETOR'S BASIC FEES AND CHARGES  |  | Daily Fee<br>(excluding GST) | GST      | Daily Fee<br>(including GST) |
|---|--|------------------------------|----------|------------------------------|
| Training fee  | Randwick/Flemington                                      | \$115                        | \$11.50  | \$126.50                     |
|   | Gear and equipment hire                                  | \$3                          | \$0.30   | \$3.30                       |
| Race day and barrier trial staffing fees  | ➤ Official Trials – NSW and Victoria                     | \$109.09                     | \$10.91  | \$120                        |
|   | ➤ Race Meetings (Monday – Saturday) Day Meetings         | \$109.09                     | \$10.91  | \$120                        |
|   | ➤ Race Meetings (Sunday) Day Meetings                    | \$120                        | \$12     | \$132                        |
|   | ➤ Race Meetings (Night Meetings/Public Holidays/Country) | Variable                     | Variable | Variable                     |
| Colours laundry fee   | Races and barrier trials                                 | \$5.00                       | \$0.50   | \$5.50                       |
| 2. OTHER FEES AND CHARGES (Estimate only) – actual fees and charges will be invoiced on a per treatment/track gallop/trip basis and may vary depending upon the service provider and the nature of the treatment/length of the trip |  | Fee<br>(excluding GST)       | GST      | Fee<br>(including GST)       |
| Chiropractic  | Consultation   | \$24.55                      | \$2.45   | \$27                         |
|   | Treatment  | \$74.55                      | \$7.45   | \$82                         |
| Dentistry   | Teeth Dressed  | \$59.09                      | \$5.91   | \$65                         |
| Farriery  | ➤ Full set of Work Shoes                                 | \$154.55                     | \$15.45  | \$170                        |
|   | ➤ Race Plates set/reset                                  | \$54.55                      | \$5.45   | \$60                         |
|   | ➤ Shoes set/reset  | \$54.55                      | \$5.45   | \$60                         |
| Track fees  | As charged by ATC or other relevant race club            | \$5.12                       | \$0.51   | \$5.63                       |
| Transportation<br>- main provider is Snowden Racing, subject to availability.<br>- main outside provider is J G Goldners  | ➤ Randwick to Canterbury                                 | \$160                        | \$16     | \$176                        |
|   | ➤ Randwick to Randwick                                   | \$60                         | \$6      | \$66                         |
|   | ➤ Randwick to Rosehill                                   | \$200                        | \$20     | \$220                        |
|   | ➤ Randwick to Warwick Farm                               | \$195                        | \$19.50  | \$214.50                     |
|   | ➤ Randwick to Hawkesbury                                 | \$200                        | \$20     | \$220                        |
|   | ➤ Randwick to Gosford/Wyong/Kembla                       | \$230                        | \$23     | \$253                        |
|   | ➤ Randwick to Newcastle                                  | \$320                        | \$32     | \$352                        |
|   | ➤ Randwick to Canberra/Muswellbrook/Scone                | \$450                        | \$45     | \$495                        |
|   | ➤ Randwick to Beach                                      | \$60                         | \$6      | \$66                         |
|   | ➤ Randwick to Wild Oaks/Oak Ridge Farms                  | \$170                        | \$17     | \$187                        |
|   | ➤ Randwick to Flemington (one way)                       | \$750                        | \$75     | \$825                        |
|   | ➤ Randwick to Brisbane (one way)                         | \$800                        | \$80     | \$880                        |
|   | ➤ Randwick to Gold Coast (one way)                       | \$700                        | \$70     | \$770                        |
|   | ➤ Randwick to Bimbadeen Park                             | \$170                        | \$17     | \$187                        |
|   | ➤ Randwick to Whispering Pines                           | \$170                        | \$17     | \$187                        |
|   | ➤ Other trips  | Variable                     | Variable | Variable                     |
| Veterinary<br>- main providers are Centennial Park Vet Centre/Randwick Equine Vet Centre  | ➤ Examination (short consultation)                       | \$63.33                      | \$6.30   | \$69.33                      |
|   | ➤ Endoscopic Examination                                 | \$115                        | \$11.50  | \$126.50                     |
|   | ➤ Saline Recovery Drench                                 | \$54.27                      | \$5.43   | \$59.70                      |
|   | ➤ EHV Vaccination  | \$90.86                      | \$9.09   | \$99.95                      |
|   | ➤ Equivac 2 in 1 Vaccination                             | \$61.82                      | \$6.18   | \$68                         |
|   | ➤ Blood Profile  | \$86.32                      | \$8.63   | \$94.95                      |
|   | ➤ Other treatments                                       | Variable                     | Variable | Variable                     |
| Common treatments administered by Snowden Racing  | ➤ Worming  | \$22.73                      | \$2.27   | \$25                         |
|   | ➤ Gastrozol  | \$7.73                       | \$0.77   | \$8.50                       |
|   | ➤ Dozadine   | \$13.64                      | \$1.36   | \$15                         |
|   | ➤ Metacam  | \$54.55                      | \$5.45   | \$60                         |
|   | ➤ Sea 5 Paste  | \$9.09                       | \$0.91   | \$10                         |
|   | ➤ Appetite   | \$1.36                       | \$0.14   | \$1.50                       |
|   | ➤ Omoguard   | \$10.91                      | \$1.09   | \$12                         |
|   | ➤ Pentosan   | \$59.09                      | \$5.91   | \$65                         |
|   | ➤ Darrows, Hippiron and Aminolite Drip                   | \$79.55                      | \$7.95   | \$87.50                      |
|   | ➤ Tie Up Paste   | \$2.27                       | \$0.23   | \$2.50                       |
|   | ➤ Frusemide  | \$40.91                      | \$4.09   | \$45                         |
|   | ➤ Bromotrimidine – Full course                           | \$69.55                      | \$6.95   | \$76.50                      |
|   | ➤ Bromotrimidine – 2.5 scoops                            | \$11.36                      | \$1.14   | \$12.50                      |
|   | ➤ Animal Lintex  | \$13.64                      | \$1.36   | \$15                         |
|   | ➤ Other treatments                                       | Variable                     | Variable | Variable                     |
| Credit Card Fee   | Merchant Fee   | 1.5% for Visa and Mastercard |          |                              |





SNOWDEN RACING PTY LTD (ABN 56 167 131 740)

**FEES NOTICE (CONTINUED) [from 1/12/2017]**

**Notes:**

- (1) An estimate of race and trial entry fees is not included and will be additional.
- (2) All race and trial entry fees (including nomination, acceptance and scratching fees) are set by the Principal Racing Authority or Race Club and are passed on the Owner at cost.
- (3) An estimate of Interstate or overseas travel is not included and will be additional. Should the Horse race interstate or overseas, charges reflecting a proportion of the additional associated expenses, plus GST, will apply.
- (4) Transportation charges may vary when an outside provider is used. These will be invoiced to the Owner at cost.

| OTHER FEES AND CHARGES (Estimate only) – actual fees and charges may vary depending upon the service provider |  | Daily Fee (excluding GST) | GST | Daily Fee (including GST) |
|---|--|---------------------------|-----|---------------------------|
| Breaking-in, agistment & pre-training   | Breaking-in, agistment and pre-training fees vary depending upon the provider. These will be invoiced directly to the Owner by the provider unless otherwise agreed. |                           |     |                           |

**3. PROPRIETOR'S ADDITIONAL REMUNERATION AND GRATUITIES**

1. If the Horse, being an Entire, is sold or retired to stud:
  - (a) an additional fee being an amount equal to 2.5% (plus GST) of the sale price (excluding GST); and
  - (b) one (1) transferable lifetime service right which will entitle the holder of such right to nominate a mare to be provided with a stud service by the Horse during each stud season that the Horse is used to provide stud services commercially, free of any service fee.
2. If the Horse, being other than an Entire to which paragraph (b) applies, is sold while being trained by the Proprietor or within 3 months after ceasing to be trained by the Proprietor, an additional fee being an amount equal to 2.5% (plus GST) of the sale price (excluding GST).
3. Stable hand Prize money Scheme:
  - (a) In NSW this is a mandatory deduction of 1.5% (plus GST) from Prize money (excluding GST) by the Principal Racing Authority (see table below – 5. MANDATORY ALLOCATION OF PRIZE MONEY UNDER THE RULES OF RACING).
  - (b) In Victoria, the Proprietor will charge 1% of Prize money earned (excluding GST) for win only.

**Notes:**

- (1) The entitlements of the Proprietor to the additional remuneration and gratuities set out in paragraph 1:
  - (a) will be conditional upon the Horse winning a Group 1 or Group 2 race while being trained by the Proprietor, or within 3 months after ceasing to be trained by the Proprietor; but
  - (b) will not be conditional upon the Proprietor being the trainer of the Horse at the time of it being sold or retired to stud.
- (2) The entitlements of the Proprietor to the additional remuneration set out in paragraphs 1 and 2 will also apply to the sale of an interest or share in the Horse.

**4. INTEREST – the Proprietor may charge interest on overdue accounts**

**5. MANDATORY ALLOCATION OF PRIZE MONEY UNDER THE RULES OF RACING**

| 5. MANDATORY ALLOCATION OF PRIZE MONEY  |            |             |           |            |         |     |             |         |     |          |     |     |
|---|------------|-------------|-----------|------------|---------|-----|-------------|---------|-----|----------|-----|-----|
| AR90 and Local Rules (LR): VIC – LR32; NSW – LR72(2); SA – LR8.7; QLD – LR71A; NT – LR75 & LR88; WA – LR80G & LR90; TAS – LR17 & LR23 | VIC        |             | NSW & ACT | SA         |         |     |             |         |     | QLD & NT | WA  | TAS |
|   | Flat Races | Jumps Races |           | Flat races |         |     | Jumps races |         |     |          |     |     |
|   |            |             |           | Win        | Placing |     | Win         | Placing |     |          |     |     |
|   |            |             |           |            | (1)     | (2) |             | (1)     | (2) |          |     |     |
| Recipient   |            |             |           |            |         |     |             |         |     |          |     |     |
| ➤ Proprietor (trainer)  | 9.8%       | 9.8%        | 9.9%      | 10%        | 10%     | -   | 10%         | 10%     | -   | 10%      | 10% | 10% |
| ➤ Jockey  | 4.9%       | 9.8%        | 4.95%     | 5%         | 5%      | 5%  | 10%         | 10%     | 10% | 5%       | 5%  | 5%  |
| ➤ Stable hand Prize money Scheme  | -          | -           | 1.5%      | -          | -       | -   | -           | -       | -   | -        | -   | -   |
| ➤ Jockey Insurance & Welfare Scheme   | 1%         | 1%          | 1%        | -          | -       | -   | -           | -       | -   | -        | -   | -   |
| ➤ Animal Welfare Fund   | 1%         | 1%          | 1%        | -          | -       | -   | -           | -       | -   | -        | -   | -   |
| ➤ Owner   | 83.3%      | 78.4%       | 81.65%    | 85%        | 85%     | 95% | 80%         | 80%     | 90% | 85%      | 85% | 85% |

**Notes:**

- (1) NSW – \$250 or over metropolitan, provincial and country.
  - (2) SA – Placing (1) Prize for the placing is \$300 or more; and (2) Prize for the placing is less than \$300 and greater than \$100.
- Other states and territories may vary.

**Proprietor**

**SNOWDEN RACING PTY LTD (ABN 56 167 131 740)**  
 28 High Street, RANDWICK, NSW, 2031, AUSTRALIA  
 Tel: +61 (2) 9326 7866  
 Fax: +61 (2) 9398 2263  
 Email: [maree@snowdenracing.com.au](mailto:maree@snowdenracing.com.au)  
 Website: [www.snowdenracing.com.au](http://www.snowdenracing.com.au)

This Financial Services Guide was prepared on 17 January, 2013

# **FINANCIAL SERVICES GUIDE**

**Of**

**Triple Crown Bloodstock Pty Ltd**

**Holder of Australian Financial Services Licence No. 307377**

**Triple Crown Bloodstock Pty Ltd**

ABN: 30 106 587 875

Suite 8, 694 Pacific Hwy, KILLARA NSW 2071, AUSTRALIA

Phone: (02) 9499 5212 Fax: (02) 9499 5213

Email: [info@triplecrown.com.au](mailto:info@triplecrown.com.au)

Website: [www.triplecrown.com.au](http://www.triplecrown.com.au)

## **General**

The promotion of horse racing schemes is a financial service regulated by the Corporations Act and requires Triple Crown Bloodstock Pty Ltd ("Promoter"), as the holder of an Australian Financial Services Licence ("Licence"), to provide you with this Financial Services Guide ("FSG") prior to it promoting an interest in a horse racing scheme to you.

This FSG is intended to inform you of certain basic matters relating to the services that the Promoter may provide to you, including the services he is authorised to provide, how you will pay for those services, how the Promoter gets remunerated and what to do if you have a complaint. It is intended that this FSG should assist you in determining whether to utilise any of the financial services that the Promoter is authorised to provide.

This FSG contains general information only and specific information relating to horse racing schemes promoted by the Promoter is contained in the Product Disclosure Statement for each scheme which is available from the Promoter upon request.

### **Who will be providing the financial service?**

The Promoter holds the Licence in its own name and provides the financial services referred to in section 3 of this FSG in its capacity as principal, and not as an authorised representative of any other licensee.

The financial services referred to in section 3 of this FSG are provided either by the Promoter itself or on its behalf by employees or authorised representatives engaged by it in accordance with the Corporations Act.

The Promoter, as principal, is solely responsible for the financial services that it provides pursuant to its Licence.

AFS licensees are required to have adequate Professional Indemnity insurance or an alternative arrangement approved by ASIC. The Promoter obtained Professional Indemnity insurance from Logan Livestock Insurance Agency Pty Ltd on 18 June, 2008. The policy incorporates cover for all current and past employees of the promoter associated with this promotion at time of registration.

### **What financial services is the Promoter authorised to provide?**

The Promoter is authorised to issue interests in horse racing schemes. To obtain an interest in a horse racing scheme you need to obtain from the Promoter a Product Disclosure Statement in relation to the relevant horse and complete the purchaser's acknowledgment and application.

The Promoter is also authorised to provide general advice in relation to horse racing schemes. The Promoter is not authorised to provide personal advice (being advice that takes into account a person's objectives, financial situation and needs) in relation to horse racing schemes.

### **How do I pay for financial services provided to me by the Promoter?**

There is no charge for general advice given to you by the Promoter relating to the acquisition of an interest in a horse racing scheme.

If you do choose to invest in one of the Promoter's horse racing schemes you will be required to pay the purchase price for your relevant interest in that scheme. The purchase price for an interest varies according to the particular horse the subject of a racing scheme and the number of participants in that scheme. The Product Disclosure Statement for the relevant syndicated horses contains details of the purchase price.



**How does the Promoter get remunerated for its provision of financial services?**

As stated above, the Promoter does not charge for giving general advice in relation to horse racing schemes.

In relation to the acquisition of an interest in a horse racing scheme, the purchase price that you pay the Promoter represents a proportion (based on the number of participants in the scheme) of the acquisition costs for that horse, the costs of promotion (a breakdown of these costs is contained in the Product Disclosure Statement for each syndicated horse) and in some cases a margin.

**Is an interest in a horse racing syndicate an investment suitable to my needs and financial circumstances?**

As stated in section 3 of this FSG, the Promoter gives general advice only and does not give personal advice that takes into account an investor's objectives, financial situation and needs. A horse racing syndicate is a speculative investment with its own risks and each investor should obtain their own independent advice as to the suitability of such an investment for them.

A copy of the Product Disclosure Statements for each horse racing scheme containing important details about that scheme is available for you upon request and we suggest you study it prior to making any decision to invest.

**What do I do if I have a complaint about a financial service provided to me?**

If you have a complaint about either the advice that the Promoter has given you or about an interest in a horse racing scheme that you have acquired from him you should take the following steps:

First - contact the Promoter and discuss your complaint with them. The Promoter has an internal dispute resolution process that it will utilise in an effort to resolve your complaint as quickly as possible.

Second – if your complaint is not resolved to your satisfaction after 45 days of having notified and discussed it with the Promoter, you have a right to complain to the Financial Ombudsman Service (an external industry dispute resolution body of which the Promoter is a member), whose details are:

**FINANCIAL OMBUDSMAN SERVICE**

Telephone: 1300 780 808

Facsimile: (03) 9613 6399

Website: [www.fos.org.au](http://www.fos.org.au)

Email: [info@fos.org.au](mailto:info@fos.org.au)

Mail: GPO Box 3, Melbourne, Victoria, 3001